

Financial Promotions Compliance Health Check



Use our **health check** to quickly identify the most efficient and effective way of managing compliance of your financial promotions.

In as little as 2 weeks and with light touch engagement with your teams, the health check:

- Assesses the current way of working and identifies:
 - Risks or issues
 - Improvement opportunities
- Defines the ideal way of working ... what and how
- Provides practical recommendations for achieving the ideal

Typically, our health check identifies significant improvements that can be made in 3 key areas:



Compliance related workload per item - for creators & approvers

Real Example

From 32 to 10 mins

↓ 69%

Number of days to complete the content approval process

Real Example

From 11 to 2 days

↓ 82%

Risk of non-compliant content being approved & used

Real Example

From 3.3% to 0.1%

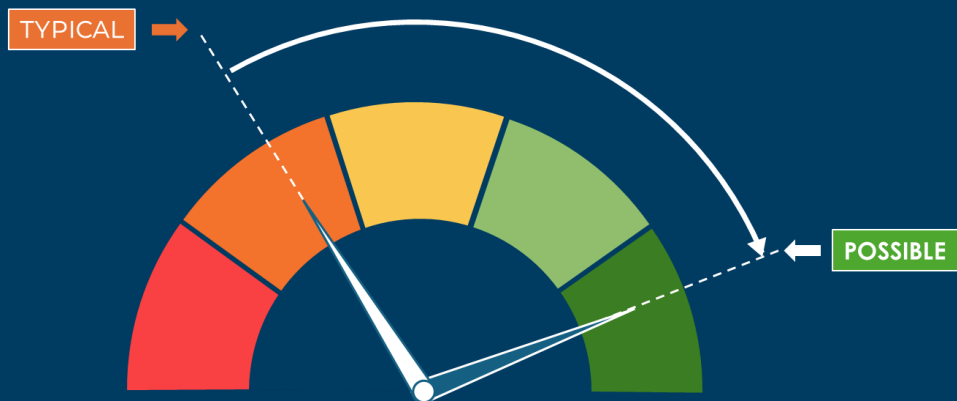
↓ 97%

"The health check identified several areas where we could make significant improvements. It also helped us to build a solid set of requirements that we are now using to evaluate various compliance technologies."

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Completing the **health check** will provide you with several valuable outputs ...



The **Health Check Report** benchmarks your financial promotions compliance against industry best practice and provides clear and practical information and insights. It includes:

- A comprehensive assessment of the current way of working including issues, risks and improvement opportunities
- An agreed target state including a customised set of business requirements
- Recommendations on how to achieve the target state quickly and cost effectively
- A high-level business case for the top 3 recommendations

The Report will also provide information:

- By role – content creators and content approvers
- That can be used to decide if any current or planned initiatives should be stopped or changed

If you would like to find out more about the **health check** and how it will help you, please contact us.

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“The recommendations from the health check were practical and enabled us to get several quick wins.”

“Having the initial business case for our high priority areas also helped us move forward quickly.”

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